**1. Conflict of Interest**

**1.1 Discloser Information**

The purpose of this document is to assist in the determination of whether additional requirements, oversight, or other conditions might be advisable prior to the University’s acceptance or approval of an IRB application.

1. Contact Information

*This section is prepopulated based on login credentials. User simply verifies information and clicks Save and Continue button.*

**1.2 General Questions**

1. To the best of your knowledge, are there any entities, other than the sponsor, that:

 a. Own a product, process or technology evaluated in this project

 b. Have the right to commercialize a product, process or technology evaluated in this project

 c. Are involved in this project as a sub-contractor, lessor, consortium member, sub recipient or vendor

 Yes or No

*If Yes click the “Click here to add” link to add entity. If entity not listed then click “Sponsor Not Listed” located at the bottom of pop up window to manually add entity.*

**1.3 COI Disclosure**

Please answer the following questions applicable to your relationship(s) with entities indicated

Personal Financial Interest

1. Excluding contracts or grants administered through UNCG: Have you, your spouse or dependent child(ren) received income, gifts (financial or in-kind), gratuities, favors, or anything else of value from an entity related to this study in the past year?

Yes or No

If Yes

a. Please select entity:

b. Will you receive this value as:

 Consulting

 Royalties

 Honoraria

 Loan

 Gift (e.g. product meal tickets etc.)

 Other (e.g. expert witness fees)

If other, please explain:

c. What is the monetary value range (annual figure) of what you will receive from the entity

 $0-4,999

 $5K-9,999

 $10K-19,999

 $20K-39,999

 $40K-59,999

 $60K-79,999

 $80K-100K

 $101,001-150K

 $151,001-$200K

 $200,001-$250K

 over $250K

External Roles

2. Do you, your spouse, or dependent child(ren) hold a position(s), paid or unpaid, with an entity associated with this study?

Yes or No

If Yes

a. Entity:

b. Please indicate which role:

 Director

 Partner

 Board of Directors Member

 Employee

 Trustee

 Consultant

 Board of Directors Member

 Other

If other, please explain:

c. Were you part of a formal committee/body that made the decision which led to the study award or the license agreement?

If yes, please explain:

Equity (Equity interest includes any stock, stock option, or other ownership interest.)

3. Do you, your spouse, or a dependent child(ren) currently or plan to hold equity interest in an entity associated with this project? Please exclude mutual and blind trust funds from your answer, unless you have direct control over the investments.

Yes or No

If Yes

a. Entity:

b. Is the entity Public or Private?

 Public

 Private

If public, what is the value of the equity interest?

 $0-4,999

 $5K-9,999

 $10K-19,999

 $20K-39,999

 $40K-59,999

 $60K-79,999

 $80K-100K

 $101,001-150K

 $151,001-$200K

 $200,001-$250K

 over $250K

If private, please indicate what percentage of the total equity you hold or will hold?

Advance Intellectual Property (Property that results from original creative thought, as patents, copyright material, and trademarks.)

4. Will this project evaluate Intellectual Property (IP) in which you, your spouse, or dependent child(ren), have an existing or proposed licensing interest?

Yes or No

If Yes

Please describe the licensed invention/ product/ technology:

Other Intellectual Property

5. Does any entity currently hold rights, or is it negotiating rights, to a pending patent application or issued patent to an invention(s), license rights or copyright for which you, your spouse or dependent child(ren) are listed as inventors?

Yes or No

If Yes

a. Entity:

b. Select:

The entity holds a license(s) from the University

The entity is negotiating a license

The University assigned the invention(s) to me

The application, patent, license or copyright did not arise from my employment by the University

**1.4 Questions for PI Only**

Please answer the following questions applicable to your relationship(s) with entities indicated

Use of University Resources and Facilities

1. Are there non-University resources (personnel, products, facilities, equipment, etc.) that are used to conduct this project that are not funded by the sponsor(s) of this project?

Yes or No

If Yes

Including UNCG cost-share arrangements, please list any external entity that is funding or supporting this research and the amount or nature of the support.

a. Entity:

b. Amount or nature of the support:

2. Will any employees or consultants of entities external to UNCG, such as a sub-contractor, use University facilities to conduct their portion of the project?

Yes or No

If Yes

a. Please explain in what capacity:

Purchasing

3. Do you refer any entity involved in this project as a vendor to other University offices?

Yes or No

If Yes

a. Entity:

b. Please explain:

Gifts

4. Has the University or has a University-related foundation received a cash or in-kind gift from

 a.) the Sponsor of this study or

 b.) an entity that owns or has the right to commercialize a product, process or technology studied in

 this project for the use or benefit of you, your research, or a member of your research group?

Yes or No

If Yes

a. Entity:

b. What is the monetary value range (annual figure) of what the University will receive from the entity?

 $0-4,999

 $5K-9,999

 $10K-19,999

 $20K-39,999

 $40K-59,999

 $60K-79,999

 $80K-100K

 $101,001-150K

 $151,001-$200K

 $200,001-$250K

 over $250K

c. Please explain:

Teaching and Research Environment

5. Will there be any students involved in the proposal study?

Yes or No

If Yes

a. Please check all that apply:

Undergraduate

Graduate

Post-doctorate fellow

Trainee

Resident

Other

 If other, please explain in what capacity the student(s) will be involved:

b. Please explain any other participation you may have in these students’ or trainees’ academic programs or development:

c. Are there any constraints or restrictions imposed on the reporting or publication of student work?

Yes or No

If yes, please explain:

d. Do any UNCG students work for payment at an external entity involved in this project?

Yes or No

If yes, please explain:

**1.5 Additional Information**

1. Please provide any additional information that you feel is relevant regarding your relationships related to this project.